



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF VOCATIONAL AND ADULT EDUCATION

JUN 29 2009

Honorable Judy Jeffrey
Director of Education
State Department of Education
Grimes State Office Building
400 E. 14th Street
Des Moines, Iowa 50319-0146

Dear Ms. Jeffrey:

This letter approves the Adult Education and Family Literacy Act (AEFLA) State plan extension and the agreed upon levels for the core indicators of performance for the one-year period from July 1, 2009, through June 30, 2010.

Enclosed is your Grant Award Notification for the AEFLA State grant program, authorized by Title II of the Workforce Investment Act of 1998. These funds are for the program year beginning July 1, 2009, and may be obligated during the 27-month period ending September 30, 2011. Your grant award includes \$252,194 reserved by Congress for "integrated English literacy and civics education services to immigrants and other limited English proficient populations."

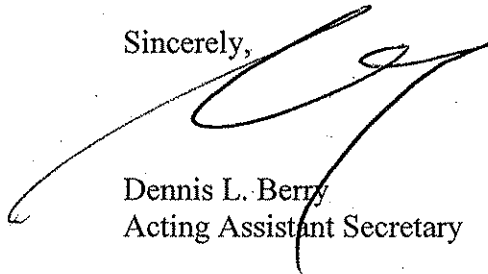
34 CFR §80.25, which applies to this award, allows State grantees of AEFLA awards to earn program income. Subsection 80.25(b) defines "program income" generally to mean "gross income received by the [State] grantee or [local] subgrantee directly generated by a grant supported activity or earned only as a result of the grant agreement during the grant period." Ordinarily, program income is deducted from total allowable program costs, and all program income, except for tuition and fees charged to students and employers earned by a subgrantee under this award, must be so deducted. However, if a local subgrantee charges reasonable and necessary tuition or fees to students and employers, the local subgrantee may use that income to provide additional adult education and literacy services that it would otherwise be unable to provide. Program income from tuition and fees must be (1) governed by the terms of the agreement between the State grantee and the local subgrantee to which the State provides Federal funds, (2) accounted for in program records, and (3) used only for costs allowable under AEFLA.

Page 2 - Honorable Judy Jeffrey

Also applicable to this award is 34 CFR §76.534, which provides that States and subgrantees may not count tuition and fees collected from students toward meeting Federal matching, cost-sharing, or maintenance of effort requirements related to this award. Moreover, States must ensure that fees charged to students participating in an adult education program that receives Federal support are equitably administered and do not reach levels that have an adverse effect on the participation of economically disadvantaged students.

We wish you success in expanding quality adult literacy services to the adults in your State. Please let us know if we can be of further assistance.

Sincerely,

A handwritten signature in black ink, appearing to read "D. Berry", is written over the typed name and title.

Dennis L. Berry
Acting Assistant Secretary

Enclosure

cc: State Director



U.S. Department of Education
Washington, D.C. 20202

GRANT AWARD NOTIFICATION

1	RECIPIENT NAME: DIRECTOR OF EDUCATION STATE DEPT OF EDUCATION Grimes State Office Building 400 E. 14th Street Des Moines, IA 50319 - 0146	5	AWARD INFORMATION PR/AWARD NUMBER V002A090015 ACTION NUMBER 01 ACTION TYPE New AWARD TYPE Formula																				
2	PROJECT DESCRIPTION 84.002A ADULT EDUCATION - STATE ADMINISTERED	6	AWARD PERIODS BUDGET PERIOD 07/01/2009 - 09/30/2010 FEDERAL FUNDING PERIOD 07/01/2009 - 09/30/2010																				
3	EDUCATION STAFF RECIPIENT STATE DIRECTOR HELENE GROSSMAN (515) 281 - 3640 EDUCATION PROGRAM CONTACT Mike Dean (202) 245 - 7828 EDUCATION PAYMENT CONTACT GAPS PAYEE HOTLINE (888) 336 - 8930	7	AUTHORIZED FUNDING CURRENT AWARD AMOUNT \$3,757,064.00 PREVIOUS CUMULATIVE AMOUNT \$0.00 CUMULATIVE AMOUNT \$3,757,064.00																				
4	KEY PERSONNEL N/A	8	ADMINISTRATIVE INFORMATION DUNS/SSN 808346555 REGULATIONS CFR PART 34 EDGAR AS APPLICABLE ATTACHMENTS A, S																				
9	LEGISLATIVE AND FISCAL DATA AUTHORITY: PL 105-220 WORKFORCE INVESTMENT ACT OF 1998 PROGRAM TITLE: ADULT EDUCATION - STATE GRANT PROGRAM CFDA/SUBPROGRAM NO: 84.002A <table border="1"><thead><tr><th>FUND CODE</th><th>FUNDING YEAR</th><th>AWARD YEAR</th><th>ORG. CODE</th><th>CATEGORY</th><th>LIMITATION</th><th>ACTIVITY</th><th>CFDA</th><th>OBJECT CLASS</th><th>AMOUNT</th></tr></thead><tbody><tr><td>0400M</td><td>2009</td><td>2009</td><td>EV000000</td><td>B</td><td>MA1</td><td>000</td><td>002</td><td>4101A</td><td>\$3,757,064.00</td></tr></tbody></table>			FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT	0400M	2009	2009	EV000000	B	MA1	000	002	4101A	\$3,757,064.00
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U.S. Department of Education
Washington, D.C. 20202

GRANT AWARD NOTIFICATION

PR/AWARD NUMBER: V002A090015

RECIPIENT NAME: DIRECTOR OF EDUCATION
STATE DEPT OF EDUCATION

TERMS AND CONDITIONS

- (1) PAYMENTS UNDER THIS AWARD WILL BE MADE AVAILABLE THROUGH THE GRANT ADMINISTRATION AND PAYMENT SYSTEM (GAPS) OF THE DEPARTMENT OF EDUCATION. THIS GRANT AWARD IS MADE SUBJECT TO THE PROVISIONS OF THE APPROVED STATE PLAN AND THE APPLICABLE ACTS AND REGULATIONS.

DISCLOSURE OF FEDERAL FUNDING IN PUBLIC ANNOUNCEMENTS.

WHEN ISSUING STATEMENTS, PRESS RELEASES, REQUESTS FOR PROPOSALS, BID SOLICITATIONS, AND OTHER DOCUMENTS DESCRIBING THIS PROJECT, THE RECIPIENT SHALL STATE CLEARLY:

- 1) THE DOLLAR AMOUNT OF FEDERAL FUNDS FOR THE PROJECT,
 - 2) THE PERCENTAGE OF THE TOTAL COST OF THE PROJECT THAT WILL BE FINANCED WITH FEDERAL FUNDS, AND
 - 3) THE PERCENTAGE AND DOLLAR AMOUNT OF THE TOTAL COST OF THE PROJECT THAT WILL BE FINANCED BY NON-GOVERNMENTAL SOURCES.
- (2) UNDER THE "TYDINGS AMENDMENT," SECTION 421(b) OF THE GENERAL EDUCATION PROVISIONS ACT, 20 U.S.C. 1225(b), ANY FUNDS THAT ARE NOT OBLIGATED AT THE END OF THE FEDERAL FUNDING PERIOD SPECIFIED IN BLOCK 6 SHALL REMAIN AVAILABLE FOR OBLIGATION FOR AN ADDITIONAL PERIOD OF 12 MONTHS.
- (3) THIS AWARD INCLUDES FUNDS WHICH, PURSUANT TO PUBLIC LAW 110-161, MAY BE USED ONLY FOR INTEGRATED ENGLISH LITERACY AND CIVICS EDUCATION SERVICES TO IMMIGRANTS AND OTHER LIMITED ENGLISH PROFICIENT POPULATIONS. THE AMOUNT OF THESE FUNDS PROVIDED TO YOUR STATE IS SPECIFIED IN THE ATTACHED LETTER.
- (4) THIS GRANT AWARD IS MADE SUBJECT TO THE TERMS AND CONDITIONS DESCRIBED IN THE TRANSMITTAL LETTER FROM DENNIS L. BERRY, ACTING ASSISTANT SECRETARY FOR VOCATIONAL AND ADULT EDUCATION, DATED JUN 29 2009, WHICH IS HEREBY INCORPORATED INTO THIS GRANT AWARD.

AUTHORIZING OFFICIAL

DATE

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula, and Block Grants

(See Block 5 of the Notification)

- 1. **RECIPIENT NAME** - The legal name of the recipient, name of the primary organizational unit that will undertake the funded activity, and the complete address of the recipient. The recipient is commonly known as the "grantee."
- 2. **PROJECT TITLE AND CFDA NUMBER** - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.
- 3. **PROJECT STAFF** - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.
 - ***RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.
 - EDUCATION PROGRAM CONTACT** - The U.S. Department of Education staff person responsible for the programmatic, administrative and business-management concerns of the Department.
 - EDUCATION PAYMENT CONTACT** - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.
- 4. *** KEY PERSONNEL** - Name, title and percentage (%) of effort the key personnel identified devotes to the project.
- 5. **AWARD INFORMATION** - Unique items of information that identify this notification.
 - PR/AWARD NUMBER** - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number."
 - ACTION NUMBER** - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"
 - ACTION TYPE** - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)
 - AWARD TYPE** - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK.
- 6. **AWARD PERIODS** - Project activities and funding are approved with respect to three different time periods, described below:
 - BUDGET PERIOD** - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.
 - PERFORMANCE PERIOD** - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.
 - ***FUTURE BUDGET PERIODS** - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.
- 7. **AUTHORIZED FUNDING** - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.
 - ***THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.
 - ***BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.
 - ***PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.
 - RECIPIENT COST-SHARE** - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.
 - RECIPIENT NON-FEDERAL AMOUNT** - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be **required** to provide the non-federal funds.
- 8. **ADMINISTRATIVE INFORMATION** - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.
 - DUNS/SSN** - A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.
 - ***REGULATIONS** - The parts of the Education Department General Administrative Regulations (EDGAR) and specific program regulations that govern the award and administration of this grant.
 - ***ATTACHMENTS** - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.
- 9. **LEGISLATIVE AND FISCAL DATA** - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.
 - FUND CODE, FUNDING YEAR, AWARD YEAR, ORG. CODE, PROJECT CODE, OBJECT CLASS** - The fiscal information recorded by the U.S. Department of Education's Grant Administration and Payment System to track obligations by award.
 - AMOUNT** - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).
- 10. **TERMS AND CONDITIONS OF AWARD** - Requirements of the award that are binding on the recipient.
 - ***AUTHORIZING OFFICIAL** - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award.

FOR FORMULA AND BLOCK GRANTS ONLY:

See also Blocks 1, 2, 5, 6, 8, 9 and 10 above)

- 1. **EDUCATION STAFF** - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.
- 2. **AUTHORIZED FUNDING**
 - CURRENT AWARD AMOUNT** - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.
 - PREVIOUS CUMULATIVE AMOUNT** - The total amount of funds awarded under the grant before this action.
 - CUMULATIVE AMOUNT** - The total amount of funds awarded under the grant, this action included.

* This item differs or does not appear on formula and block grants.



UNITED STATES DEPARTMENT OF EDUCATION
OFFICE OF THE CHIEF FINANCIAL OFFICER
& CHIEF INFORMATION OFFICER

June 26, 2009

JUDY JEFFREY
DIRECTOR OF EDUCATION
STATE DEPT OF EDUCATION
Grimes State Office Building
400 E. 14th Street
Des Moines, IA 50319

SUBJECT: Payee Verification for Grant Award V002A090015

This is to inform you of the payee for the above listed grant award issued by the United States Department of Education.

Grantee DUNS/SSN: 808346555
Grantee Name: DIRECTOR OF EDUCATION
STATE DEPT OF EDUCATION

Payee DUNS/SSN: 146589783
Payee Name: Iowa State Treasurer

If any of the above information is not correct, please contact a Payee Customer Support Representative at 1-888-336-8930. Please send all correspondence relating to payee or bank information changes to the following address:

U.S. Department of Education
400 Maryland Ave, SW
Room 4C138
Washington, DC 20202

Attn: Claudia Staplefoote
Phone: (202) 401-1117
Fax: (202) 260-5505